

Jim GERLACH

OUR CONGRESSMAN

Post Office Box 87
Uwchland, PA 19480-0087
Phone: 610-458-7374
Fax: 610-458-7826
www.jimgerlachforcongress.com

August 26, 2008

Ms. Faith Burton
Special Counsel
Office of Legislative Affairs
United States Department of Justice
950 Pennsylvania Avenue, NW
Washington, DC 20530

Dear Ms. Burton:

I would like to bring to your attention a letter I have sent to the House of Representatives' Committee on Standards of Official Conduct. I have filed a complaint against Robert Roggio, a candidate for the U.S. House of Representatives in the Sixth Congressional District of Pennsylvania, for failing to file a complete Financial Disclosure Statement as required by the Ethics in Government Act and the Rules of the House of Representatives. Mr. Roggio has qualified as a candidate under Pennsylvania election law and has filed a Statement of Candidacy with the Federal Election Commission.

Please see the attached letter, which details my complaints. I request that you also take action to investigate this matter.

Sincerely,



Jim Gerlach

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August 25, 2008

The Hon. Gene Green
Acting Chairman
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, DC 20515

The Hon. Doc Hastings
Ranking Member
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, DC 20515

Dear Chairman Green and Ranking Member Hastings:

I wish to file a complaint against Robert Roggio, a candidate for the U.S. House of Representatives in the Sixth Congressional District of Pennsylvania, for failing to file a complete Financial Disclosure Statement as required to the Ethics in Government Act and the Rules of the House of Representatives. Mr. Roggio has qualified as a candidate under Pennsylvania election law and has filed a Statement of Candidacy with the Federal Election Commission,

An individual who qualifies as a candidate for the U.S. House of Representatives must file a Financial Disclosure Statement on or before May 15. Mr. Roggio filed his Financial Disclosure Statement on March 21, 2008. Mr. Roggio affirmed in the "Preliminary Information" that he did have "earned" income, that he held a reportable position on or before the date of filing in the current calendar year or in the prior two years, and that he received compensation of more than \$5,000 from a single source in the two prior years. (See Attachment 1) However, Mr. Roggio did not include any information as required on the form under the following sections: *Schedule I—Earned Income (Including Honoraria)*, *Schedule IV—Positions*, or *Schedule VI—Compensation in Excess of \$5,000 Paid by One Source*. (See Attachment 1)

The financial disclosure provisions of the Ethics in Government Act ("the Act") have been incorporated by reference as a Rule of the House of Representatives (House Rule 26), over which the Committee on Standards of Official Conduct has jurisdiction. In addition to any Committee action, the Act authorizes the Attorney General of the United States to seek a civil penalty of up to \$11,000 against an individual who knowingly and willfully falsifies or fails to file or to report any required information (U.S.C. app. 4 § 104(a)).

Therefore, I am requesting that the Committee authorize the Attorney General to investigate Mr. Roggio and take appropriate action.

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Sincerely,


Jim Gerlach

CC: Department of Justice

State of Pennsylvania
County of Chester
Sworn and Subscribed
before me this day of

State of Pennsylvania Signed and sworn before me this 26 day of August, 2008.


Notary Public
My Commission expires

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barry B. DiLibero, Notary Public
West Vincent Twp., Chester County
My Commission Expires Feb. 14, 2010
Member, Pennsylvania Association of Notaries

CERTIFICATE OF SERVICE

I, Jim Gerlach, certify that a true and correct copy of this Complaint has been sent via U.S. Mail to the Respondent, Robert Roggio at the address below:

Bob Roggio for Congress Committee
9 Old Lincoln Highway
Suite 1
Malvern, PA 19355


Jim Gerlach

**UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT**

Period Covered: January 1, 2007 - MARCH 1, 2008

FORM B
For use by candidates
and new employees

MAR 21 2008

IN. RESOURCE CENTER

2008 MAR 31 PM 2:34

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

ROBERT ROGGIO

(Full Name)

23 EASTWICK DRIVE, MALLARD PENNSYLVANIA 19355

(Mailing Address)

DAYLINE TELEPHONE:

Filer Status

☒ Candidate for the House of Representatives

☐ New officer or employee

State: **PA**
District: **6TH**

Date of Election: **04-22-2008**

Employing Office:

Check if Amendment ☐

A \$200 penalty shall be assessed against anybody who files more than 30 days late.

In all sections, please type or print clearly in black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? (See Instructions, page 8.)

Yes ☐ No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification

Signature of Reporting Individual

Date (Month, Day, Year)

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Robert Roggio

3-21-08

Page 2 of 6

Preceding Year

This page may be copied if more space is required.

Page 3 of 4

For additional assets and unearned income, use next page.

[illegible]

Continuation Sheet (If needed)

Page 4 of 6

[illegible]

SCHEDULE III — LIABILITIES

Name ROBERT ROGGIO

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B \$10,001— \$15,000	C \$15,001— \$50,000	D \$50,001— \$100,000	E \$100,001— \$250,000	F \$250,001— \$500,000	G \$500,001— \$1,000,000	H \$1,000,001— \$5,000,000	I \$5,000,001— \$25,000,000	J \$25,000,001— \$50,000,000	K Over \$50,000,000	
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main Street, Dover, Del.				X							
	MERRILL LYNCH	BROKERAGE EQUITY				X							
		LOAN SECURED BY											
		MERRILL LYNCH											

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE V--AGREEMENTS

Name ROBERT ROGGIO Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE VI--COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate	Accounting services